

# **OPEN ACCESS GUIDELINES**

The present Open Access Guidelines aims at summarising all the **Open Access Publication rules** described in the H2020 Online Manual (<a href="https://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/open-access-data-management/open-access en.htm">https://ec.europa.eu/research/participants/docs/h2020-funding-guide/cross-cutting-issues/open-access-data-management/open-access en.htm</a>) and make them easier to read and apply for Tecniospring beneficiary entities and researchers.

The Open Access Publications rules must be obeyed by all Tecniospring projects, which includes:

- Tecniospring INDUSTRY: all projects with reference TECSPR19, ACE003 and ACE026

#### **DEFINITION OF THE OPEN ACCESS OBLIGATIONS**

<u>All peer-reviewed articles</u> related to the project results <u>must be in open access</u> (free of charge, online access for any user) and must be compliant with the following requirements:

- a) The publication (at least the post-print version) must be accessible under either:
  - **Green open access (self-archiving):** the published work or the final peer-reviewed manuscript that has been accepted for publication is made freely and openly accessible in an online repository. Some publishers request that open access be granted only after an embargo period has elapsed.
  - Gold open access (open access publishing): the published work is made available in open access mode by the publisher immediately upon publication and the Beneficiary pays a fee to give free access to the readers. The costs of gold open access publications are eligible costs.
- b) In case of embargo periods, the duration cannot exceed 6 months after the date of publication (or 12 months for Social Sciences and Humanities). For publications issued after the end of the project, if beneficiaries cannot provide open access within the maximum acceptable embargo period without incurring additional costs for gold open access, green open access with a longer embargo period is accepted.
  - If the publisher doses not accept this condition and only in exceptional cases, a longer embargo period can be accepted (you must contact your Project Officer and he/she will assess the situation).
- c) Regardless of the type of open access (green or gold), all publications must be deposited in a "repository for scientific publications". A repository is a digital archive that is used to collect and preserve information, such as publications and data. Personal webpages, a project website[s] or a research social network (e.g ResearchGate) are not considered to be repositories (you may find some examples in "Repositories" section below). For Gold open access, there must be 2 links (to the repository and to the publication). No specific electronic format is required, as long as it is machine readable.

To be able to easily find the deposited publication, beneficiaries must also ensure open access – via the repository – to the bibliographic metadata that identify the deposited publication. This



metadata must include a persistent identifier (such as the Digital Object Identifier, **DOI**) in order to allow easy and persistent referencing.

- d) **All publications** must include the **acknowledgment of the EU & ACCIÓ funding** by including the following **exact** text:
  - "This project has received funding from the European Union's Horizon 2020 research and innovation programme under the Marie Skłodowska-Curie grant agreement No 801342 (Tecniospring INDUSTRY)" and the Government of Catalonia's Agency for Business Competitiveness (ACCIÓ).

Unless it is impossible, it must also include the EU emblem, ACCIÓ's logo, and the Tecniospring INDUSTRY logo.

If inserting the correct acknowledgment text is not possible directly through the publisher, at least the above exact text on the EU funding must be included under the repository link.

e) Any communication or dissemination of results must indicate that it reflects only the author's view and that the Agency is not responsible for any use that may be made of the information it contains.

The Tecniospring management team will check every publication to see that they obey the above open access obligations. If it appears that these rules are not respected, all the presented dissemination/open access costs, as well as all costs related to the publication (participation to a conference, dissemination, etc.) will be deemed <u>ineligible for funding</u>.

Other types of scientific publications, such as non-peer-reviewed articles as well as monographs, book chapters, conference proceedings and 'grey literature' (i.e. informally published material not having gone through a standard publishing process, e.g. reports), are not covered by the open access obligation. However, to ensure access to as much scientific information as possible, you are also encouraged to provide open access to these other types of scientific publications, whenever it is possible. In any case, those publications must include the exact text on the EU & ACCIÓ funding as described above and the disclaimer excluding Agency responsibility.

## MISCONCEPTIONS ABOUT OPEN ACCESS

**Open access requirements do not imply an obligation to publish results.** The decision to publish is entirely up to the Tecniospring beneficiary entities and researchers. Open access becomes an issue only if publication is chosen as a means of dissemination.

Moreover, open access does not affect the decision to exploit research results commercially, e.g. through patenting. The decision on whether to publish through open access must come after the more general decision on whether to publish directly or to first seek protection.

## HOW DO I COMMUNICATE THE PUBLICATION TO THE TECNIOSPRING TEAM?

In the <u>semi-annual report template</u>, under point 4.1. PUBLICATIONS, you will find this <u>online form</u> to be completed. **Our team is obliged to report all publications generated during your projects to the European Commission**, and to do so, we need all the information we ask for in this form.

You must report all publications that are in one of these statuses: submitted, accepted or published.



#### **REPOSITORIES**

'Repository' for scientific publications is an **online archive**. Institutional, subject-based and centralised repositories are all acceptable choices. Repositories that claim rights over deposited publications and preclude access are not.

The <u>Open Access Infrastructure for Research in Europe (OpenAIRE)</u><sup>1</sup> is the recommended entry point for researchers to determine what repository to choose. It also offers support services for researchers, such as the National Open Access Desks.

Here are some repositories where to publish your articles and papers:

**Zenodo** is another repository that specifically targets data and publications from EU projects.

<u>Europe PubMed Central</u> provides access to open content and data, and to advance life sciences research.

<u>arXiv</u> is a free distribution service and an open-access archive in the fields of physics, mathematics, computer science, quantitative biology, quantitative finance, statistics, electrical engineering and systems science, and economics

<u>OAPEN</u> promotes and supports the transition to open access for academic books by providing open infrastructure services to stakeholders in scholarly communication.

<u>Open Research Europe</u> is the European Commission's open access platform that offers researchers a publishing venue to share their results and insights rapidly and facilitate open, constructive research discussion.

**Most journals** (check <u>here</u> or on the journal website) will allow you to deposit a copy of your published article in a repository, sometimes with a restricted (embargo) access period. Some publishers allow authors to retain their copyright via an author addendum.<sup>2</sup>

Feel free to deposit a copy of your peer-reviewed publications in any repository which you think is the most appropriate regarding your subject and publication (for instance a thematic or institutional repository).

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<sup>&</sup>lt;sup>1</sup> The OpenAIRE infrastructure is funded by the EC to support its OA policy and rules.

<sup>&</sup>lt;sup>2</sup> A model addendum to publication agreements in order support compliance with Horizon 2020 embargo periods can be found in the <u>Guidelines to the Rules on Open Access to Scientific Publications</u>.